

Alfred M. Walker**Attorney at Law****RECEIVED
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APR 06 2004

225 Old Country Road
Melville, New York 11747-2712
Tel No. (631) 361-8737
Fax No. (631) 361-8792**OFFICIAL***Admitted to United States Patent Office and New York State*

April 6, 2004

Commissioner for Patents
Mail Stop: Amendments
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICANT:	William George Wilhelm
SERIAL NO.:	08/820,496
FILED:	March 19, 1997
EXAMINER:	Rios-Cuevas; Roberto J.
GROUP ART UNIT:	2836
MAILING DATE OF ACTION:	October 6, 2003
TITLE:	HIGH EFFICIENCY LIGHTING SYSTEM


Dear Sir:

Enclosed please find Amendment with Request for Three Month Extension and fee transmittal.

Certificate of Facsimile.

Please acknowledge receipt by return facsimile.

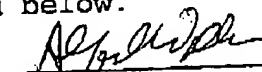
Respectfully submitted,


Alfred M. Walker
Reg. No. 29,983

PAT27

I certify that this correspondence is being facsimile transmitted to the U.S. Patent & Trademark Office at (703)872-9306 on the date indicated below.

Date: April 6, 2004


Alfred M. Walker

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 475

Complete if Known

Application Number	08/820,496
Filing Date	MARCH 19, 1997
First Named Inventor	WILLIAM GEORGE WILHELM
Examiner Name	RIOS-CUEVAS; ROBERTO J.
Art Unit	2836
Attorney Docket No.	NEXTEK-LIGHTING

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

23-0120

ALFRED M. WALKER

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)			
1001	770	2001	365	Utility filing fee		
1002	340	2002	170	Design filing fee		
1003	530	2003	265	Plant filing fee		
1004	770	2004	365	Reissue filing fee		
1005	160	2005	80	Provisional filing fee		
SUBTOTAL (1)					(\$)	0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid	
Total Claims		-20** =		X		=	
Independent Claims		-3** =		X		=	
Multiple Dependent							

Large Entity		Small Entity		Fee Description	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)			
1202	18	2202	9	Claims in excess of 20		
1201	86	2201	43	Independent claims in excess of 3		
1203	290	2203	145	Multiple dependent claim, if not paid		
1204	86	2204	43	** Reissue independent claims over original patent		
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					(\$)	0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity - Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1806	1,840*	1806	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	475
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(a)	
1808	180	1808	180	Submission of information: Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 475

SUBMITTED BY

Name (Print/Type) ALFRED M. WALKER

Signature

ALFRED M. WALKER

Registration No.
(Attorney/Agent)

29,983

(Complete if applicable)

Telephone 631-361-8737

Date

APRIL 6, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Wilhelm
08/820,496

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on April 6, 2004



Alfred M. Walker

87/89/83 19:45:48

USPTO->

6313618792 RightFAX

Page 881

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Auto-Reply Facsimile Transmission



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PATENT AND
TRADEMARK OFFICE
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Cover
Page
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JUL-08-2003 TUE 07:21 PM WALKER LAW OFFICES

FAX NO. 6313618792

P. 01

Alfred M. Walker, P.C.

Attorney at Law

Admitted to New York State Bar
and the United States Patent Office

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July 8, 2003

Commissioner for Patents
Mail Stop: Amendments
P.O. Box 1450
Alexandria, VA 22311-1450

APPLICANTS: William George Wilhelms
SERIAL NO.: 08/820,496 (CPA)
FILED: March 19, 1997
EXAMINER: P. Fleming
GROUP ART UNIT: 2182
PENDING DATE OF ACTION: January 21, 2003
TITLE: HIGH EFFICIENCY LIGHTING SYSTEM

Dear Sir:

Enclosed please find Supplementary Amendment.
A duplicate of the Amendment was filed with Group Art Unit
2836.

Certificate of Fax transmission.

Please acknowledge receipt by fax.

Respectfully submitted,

Alfred M. Walker

Alfred M. Walker
Reg. No. 29,983

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to (703)-746-7239 on the date indicated below.

Date: July 8, 2003

Anna H. Russo
Anna H. Russo

Received from 6313618792 at 2003-07-08 07:21 PM [Eastern Daylight Time]

Alfred M. Walker, P.C.***Attorney at Law***

*Admitted to New York State Bar
and the United States Patent Office*

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July 8, 2003

Commissioner for Patents
Mail Stop: Amendments
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Alexandria, VA 22313-1450

FAKED

APPLICANTS:	William George Wilhelm
SERIAL NO.:	08/820,496 (CPA)
FILED:	March 19, 1997
EXAMINER:	F. Fleming
GROUP ART UNIT:	2836
MAILING DATE OF ACTION:	January 21, 2003
TITLE:	HIGH EFFICIENCY LIGHTING SYSTEM

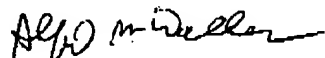
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Enclosed please find Supplementary Amendment.

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Respectfully submitted,

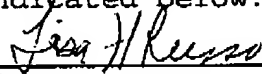


Alfred M. Walker
Reg. No. 29,983

PAT27

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to (703)-872-9318 on the date indicated below.

Date: July 8, 2003



Lisa H. Russo

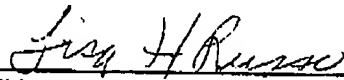
Wilhelm
08/820,496

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on July 8, 2003



Lisa H. Russo

nextek lighting 7-8-03 pat 6 preliminary amendment